

# Kaplan Financial Student Enrollment Agreement for Qualified Financial Advisor (QFA) Course Online

Enroll in the online QFA Course by completing this Student Enrollment Agreement.

For more information including class locations, dates, and times visit [www.kaplanfinancial.com/QFA](http://www.kaplanfinancial.com/QFA).

## How to Enroll

### Mail/FAX completed enrollment forms to:

Kaplan Financial  
 Fax: 1-312-455-6993  
 30 South Wacker Drive, Ste. 2500  
 Chicago, IL 60606  
 Attn: QFA Enrollment Advisor Team

### Call for more information:

Kaplan Financial  
 Tel: 1-888-694-3568  
 30 South Wacker Drive, Ste. 2500  
 Chicago, IL 60606  
 Attn: QFA Enrollment Advisor Team

## Student Profile

*Please print legibly, fill in all sections completely, and sign on page three for your enrollment to be accepted.*

NAME \_\_\_\_\_

HOME ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

HOME PHONE \_\_\_\_\_ HOME FAX \_\_\_\_\_ CELL PHONE \_\_\_\_\_

EMAIL ADDRESS (required for confirmation) \_\_\_\_\_

DATE OF BIRTH \_\_\_\_\_

DESIGNATIONS:  CPA  CFA  CLU  ChFC  Other \_\_\_\_\_

HOW DID YOU LEARN ABOUT THE QFA? \_\_\_\_\_

DO YOU PLAN TO EARN THE CFP® CERTIFICATION? \_\_\_\_\_

DOES YOUR EMPLOYER OFFER REIMBURSEMENT FOR THE QFA FEES/TUITION? \_\_\_\_\_

YEARS OF FINANCIAL PLANNING WORK EXPERIENCE \_\_\_\_\_

EDUCATION:  SOME COLLEGE  BACHELORS DEGREE  GRADUATE  J.D.  PhD or EQUIVALENT

### SHIPPING INFORMATION

SAME AS ABOVE ADDRESS  PLEASE SHIP TO:

SHIPPING ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

## Employment Information

COMPANY NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

BUSINESS PHONE \_\_\_\_\_ BUSINESS FAX \_\_\_\_\_

FUNCTION:  CUSTOMER SERVICE  SALES  TECHNICAL SUPPORT

OTHER (please describe) \_\_\_\_\_



*Kaplan Financial includes: Schweser a Kaplan Professional company and these former companies: Dearborn Financial Services, BISYS Education Services, eMind, Insurance Achievement*

## QFA Enrollment Tuition

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All prices and course offerings are subject to change. Please visit our web site at [www.kaplanfinancial.com/QFA](http://www.kaplanfinancial.com/QFA) or call our Enrollment Advisor Team at 1-888-694-3568 for current QFA information.

### QUALIFIED FINANCIAL ADVISOR (ONLINE) - ONLY \$599.00

All tuition prices include \$50 nonrefundable registration fee.

#### Tuition for QFA Course includes:

- √ 24-7 Online access to all required QFA study materials (no additional purchases required).
- √ Easy playback to go back and refresh topics studied earlier.
- √ Access to study support team – Email [fpsupport@kaplan.com](mailto:fpsupport@kaplan.com).
- √ Repeats/'Do Overs' of the course until a passing grade is achieved.

## QFA Payment Options

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1

#### CREDIT CARD OR BANK CARD:

 Visa

 MasterCard

 American Express

 Discover

CARD NUMBER \_\_\_\_\_ EXP DATE \_\_\_\_\_

SIGNATURE \_\_\_\_\_

2

**CHECK OR MONEY ORDER:** When paying by check or money order, the full tuition rate is due with your completed application. Please make check payable to Kaplan Financial and mail to 30 S. Wacker, Suite 2500, Chicago, IL 60606-1719, Attn: QFA Enrollment Advisor Team. Be sure to include a completed enrollment form with payment.

**KAPLAN FINANCIAL**

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# QFA Terms and Conditions

## Tuition:

Tuition is payable in full in U.S. funds, by check on a U.S. bank, credit card, bank card, or money order. All tuition must be paid prior to beginning the coursework. The student understands that he/she is solely responsible for accessing the Internet program and for all fees related thereto including the appropriate computer equipment and an online Internet service provider.

## Certificate:

Certificate of Completion is awarded upon program completion when the student satisfactorily completes and passes every lesson quiz and the examination. Students must be free of any financial obligation to Kaplan Financial prior to a certificate being awarded.

## Progress:

The Certificate of Completion of our program will be granted to a student who successfully completes each of the ten lessons and examination in the program. Successful completion is a pass (or, earning at least an 80 percent on the quizzes at the end of each lesson and at least a 70 percent on the exam at the end of the course). The faculty will assist in every way to ensure that the student maintains satisfactory progress.

## Entrance:

Student admission is at the discretion of the Program Director. At a minimum, students must be 18 years of age, possess either a high school diploma or a GED equivalency, and meet the general acceptance requirements of Kaplan Financial to enter the program.

## Program Start Date

The date that students are deemed to have started their program of study is when the five-day period for rescinding the contract has passed, they have met the entrance requirements, and tuition payment in full has been made.

## Course Materials:

As all educational content for the QFA course is included in the online readings, there are no separate textbook costs. The program requires use of a HP 10BII financial function calculator that is not included in the cost of tuition and may be purchased independently.

## Program Version:

To ensure the currency and efficacy of the Qualified Financial Advisor Certificate, the program may be periodically updated. Kaplan Financials academic administration may, at its sole discretion, close a version of a course or program.

## Refunds:

All monies will be refunded if the student is not accepted by Kaplan Financial, or if the student cancels within five calendar days after midnight of the day on which the Student Enrollment Agreement was signed. Thereafter, Kaplan Financial is entitled to the full tuition.

## Transfer to Certificate in Financial Planning:

Successful attainment of the QFA Certificate of Completion requires passing a quiz at the end of each lesson and an examination at the end of the program; such QFA Certificate may be presented in the normal course to secure retrospective credit for the first module (FP 101) of Kaplan University's CFP Board-Registered course for completion of the required education prior to appearing for the CFP® Certification Exam.

## Waiver and Release:

Kaplan University and/or Kaplan Financial may disclose my student information to my employer, including but not limited to, name, address, date of course enrollment, date of completion and grade, for the purpose of tuition reimbursement and other internal procedures commonly requested by corporate customers.

## Acceptance of QFA Terms & Conditions

*To enroll, please sign your name and today's date.*


(1) Read all the terms and conditions of the Student Enrollment Agreement before signing.

(2) We advise you to keep a copy of this Student Enrollment Agreement for your records.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

PRINT NAME \_\_\_\_\_

<sup>1</sup> Certified Financial Planner Board of Standards Inc. owns the certification marks CFP®, CERTIFIED FINANCIAL PLANNER™, and federally registered  in the U.S., which it awards to individuals who successfully complete initial and ongoing certification requirements.

Kaplan University does not certify individuals to use the CFP®, CERTIFIED FINANCIAL PLANNER™ and  certification marks. CFP certification is granted only by Certified Financial Planner Board of Standards Inc. to those persons who, in addition to completing an educational requirement such as this CFP Board-Registered Program, have met its ethics, experience and examination requirements.

Kaplan Financial and Kaplan University are review course providers for the CFP® Certification Examination administered by Certified Financial Planner Board of Standards Inc. CFP Board does not endorse any review course or receive financial remuneration from review course providers.



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