

Securities Licensing Classroom Schedule

2007 September-December

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KAPLAN FINANCIAL

Series 6

\$239 | Class w/materials

Investment Company/Variable Contracts Limited Representative

Series 6 classes are all 2½ days unless otherwise indicated

LOCATION	SEP	OCT	NOV	DEC
Alabama				
Birmingham	24-26		12-14	
Arizona				
Phoenix		8-10		3-5
California				
Encino	4-6		5-7	
Irvine	10-12	8-10	5-7	10-12
Los Angeles	24-26	29-31		10-12
Sacramento		8-10		3-5
San Diego	24-26		27-29	
San Francisco	24-26	15-17	26-28	17-19
San Jose	17-19			3-5
Colorado				
Denver	5-7	24-26	7-9	3-5
Connecticut				
Stamford	5-7	9-11	19-21	17-19
West Hartford	10-12	22-24		3-5
Florida				
Clearwater		1-3	5-7	3-5
Jacksonville		1-3		3-5
Orlando	24-26		26-28	
Temple Terrace	10-12			
Georgia				
Atlanta	5-7	1-3	12-14	3-5
Illinois				
Chicago	10-12	3-5	7-9	19-21
Chicago	26-28	29-31	19-21	
Downers Grove				3-5
Schaumburg		17-19		
Indiana				
Indianapolis	17-19		12-14	
Iowa – 3 Day Class				
Des Moines	17-19	15-17	12-14	17-19
Massachusetts				
Boston	4-6	9-11	13-15	17-19
Maryland				
Baltimore		1-3		

LOCATION	SEP	OCT	NOV	DEC
Michigan				
Grand Rapids		8-10		3-5
Southfield	5-7	1-3	12-14	3-5
Southfield	17-19	15-17	16-18	17-19
Southfield	28-30	29-31		
Minnesota – 3 Day Class				
Bloomington	10-12	8-10	5-7	3-5
Missouri – 3 Day Class				
Maryland Heights		1-3		3-5
Nebraska – 3 Day Class				
Omaha		29-31		
North Carolina				
Charlotte	17-19	15-17	12-14	3-5
Durham		29-31		17-19

“I have now taken and passed both insurance licenses, and the Series 6, 26, and 63. I’m done forever! I will always refer people to Kaplan’s virtual classes, plus the great books and CDs that go with them. No other system prepared me as well.”

–Stephanie Rowley

LOCATION	SEP	OCT	NOV	DEC
New Jersey				
Newark	10-12	15-17	13-15	10-12
New Mexico				
Albuquerque	10-12		5-7	
Nevada				
Las Vegas	24-26		28-30	
New York				
New York	24-26	22-24	5-7	3-5
Ohio				
Beachwood	17-19		26-28	
Cincinnati	24-26		12-14	
Oregon				
Portland	24-26		26-28	
Pennsylvania				
Philadelphia	4-6	1-3		10-12
Philadelphia		29-31		
Pittsburgh	17-19		5-7	17-19
Tennessee				
Chattanooga	5-7			
Knoxville	5-7			3-5
Memphis	5-7		26-28	
Nashville		17-19		5-7
Texas				
Arlington	26-28			
Austin	24-26		12-14	
Dallas	12-14	24-26	28-30	17-19
Houston	4-6	8-10	5-7	10-12
Houston	10-12	29-31	19-21	
Houston	24-26			
San Antonio		15-17		10-12
Virginia				
Richmond	24-26		12-14	
Vienna		24-26		19-21
Washington				
Renton		22-24		
Wisconsin				
Green Bay	17-19			17-19
Madison			12-14	
Wauwatosa	24-26	22-24	19-21	26-28

SERIES 6 BILINGUAL CLASS

\$239 | Class w/materials

Florida				
Miami		15-17	26-28	10-12

SERIES 6 VIRTUAL CLASS

\$219 | Class w/materials

Weekend	8-9	13-14	10-11	8-9
Weekday	10-13	15-18	12-15	10-13

Did You Know?

Kaplan Financial has released seven virtual classes for securities licensing since 2006, including Series 6, 7, 24, 63, 65, 66, and Series 6 Bilingual Spanish. The average virtual class evaluation is above 4.5 (out of 5 point scale) for all series.

Series 63

\$89 | Class w/materials

Uniform Securities Agent State Law Exam

Series 63 classes are all ½ day unless otherwise indicated

LOCATION	SEP	OCT	NOV	DEC
Alabama				
Birmingham	26		14	
Arizona				
Phoenix		10		5
California				
Encino	6		7	
Irvine	12	10	7	12
Los Angeles	26	31	28	12
Sacramento		10		12
San Diego	26		29	
San Francisco	26	17	28	19
San Jose	19			3
Colorado				
Denver	7	26	9	5
Connecticut				
Stamford	7			19
West Hartford	12	24		5

“Kaplan Financial’s course helped me pass the exam! The entire class really focused on the items on the test. It was a perfect refresher course for the important topics I needed to know to pass the exam.”

–George Moreno

LOCATION	SEP	OCT	NOV	DEC
Florida				
Clearwater		3	7	5
Jacksonville		3		5
Orlando	26		28	
Temple Terrace	12			
Georgia				
Atlanta	7	3	14	5
Illinois				
Chicago	12	5	9	21
Chicago	28	31	21	
Downers Grove				5
Schaumburg		19		
Indiana				
Indianapolis	19		14	
Iowa – One Day Class				
Des Moines	20		15	
Massachusetts				
Boston	6	11	15	19
Maryland				
Baltimore		3		
Michigan				
Grand Rapids		10		5
Southfield	7	3	14	5
Southfield	19	17	18	19
Southfield	30	31		
Minnesota – One Day Class				
Bloomington	13	11	8	6
Missouri – One Day Class				
Maryland Heights		4		6
Nebraska – One Day Class				
Omaha			1	
North Carolina				
Charlotte	19	17	14	5
Durham		31		19
New Mexico				
Albuquerque	12		7	

LOCATION	SEP	OCT	NOV	DEC
Nevada				
Las Vegas	26		30	
New York				
New York	26	24	7	
Ohio				
Beachwood	19		28	
Cincinnati	26		14	
Oregon				
Portland	26		28	
Pennsylvania				
Philadelphia	6	3		12
Philadelphia		31		
Pittsburgh	19		7	19
Tennessee				
Chattanooga	7			
Knoxville	7			5
Memphis	7		28	
Nashville		19		7
Texas				
Arlington	28			
Austin	26		14	
Dallas	14	26	30	19
Houston	6	10	7	12
Houston	12	31	21	
Houston	26			
San Antonio		17		12
Virginia				
Richmond	26		14	
Vienna		26		21
Washington				
Renton		24		
Wisconsin				
Green Bay	19			19
Madison			14	
Wauwatosa	26	24	21	28
Ontario, Canada				
Toronto		26		

SERIES 63 BILINGUAL CLASS

\$89 | Class w/materials

Florida				
Miami		18	29	13

SERIES 63 VIRTUAL CLASS

\$79 | Class w/materials

Weekday	14	19	16	14
Weekend	15	20	17	15

“I used Kaplan to pass my Series 6, 63 and 26 exams all on the first attempt! Your materials are thorough, easy to use and provide a person with everything they need to prepare for and PASS their NASD exams. I would highly recommend the Kaplan exam preparatory training to anyone who is looking for the BEST tool to adequately prepare them for any of the NASD exams. I would also like to let you know that the Kaplan customer service and support team is second to none. Your company has always provided me with prompt professional and courteous customer service regarding everything from ordering materials to technical assistance with your internet-based virtual classes. It is a pleasure to do business with a company such as Kaplan that provides a winning combination of a quality product and World class customer service. Keep up the good work, and thank you for a wonderful product that does exactly what it is supposed to do.”

—David M. Krist

Series 7

\$419 | Class w/materials

General Securities Representatives

LOCATION	SEP	OCT	NOV	DEC
Alabama				
Birmingham		22-26		
Arizona				
Phoenix	10-14		5-9	
California				
Irvine	17-21	22-26	12-16	3-7
Los Angeles	24-28	15-19	26-30	17-21
Sacramento	10-14		5-9	
San Diego	10-14	8-12	5-9	10-14
San Francisco	17-21	8-12	12-16	10-14
San Jose	24-28			
Colorado				
Denver	10-14	29-11/2	26-30	17-21
Connecticut				
Stamford	24-28	29-11/2		3-7
West Hartford	17-21	15-19	26-30	
Florida				
Clearwater	17-21	15-19	12-16	10-14
Orlando	10-14	8-12	5-9	10-14
Georgia				
Atlanta	10-14	8-12	5-9	10-14
Atlanta	24-28	22-26	26-30	
Iowa				
Des Moines	10-14	22-26		3-7
Illinois				
Chicago	10-14	1-5	12-16	10-14
Chicago		22-26		
Indiana				
Indianapolis		1-5		3-7
Massachusetts				
Boston	17-21	15-19	5-9	3-7
Maryland				
Baltimore	17-21	15-19	26-30	10-14
Michigan				
Grand Rapids		22-26		
Southfield	10-14	8-12	5-9	10-14
Southfield	24-28		26-30	
Minnesota				
Bloomington	17-21	15-19	12-16	10-14

LOCATION	SEP	OCT	NOV	DEC
Missouri				
Maryland Heights	17-21	29-11/2		10-14
North Carolina				
Charlotte	10-14	8-12	5-9	10-14
Charlotte	24-28	22-26	26-30	
Nebraska				
Omaha	24-28		26-30	
New Jersey				
Newark	24-28		26-30	
Paramus		29-11/2		17-21
New Mexico				
Albuquerque		8-12		3-7
Nevada				
Las Vegas	10-14			3-7
New York				
New York	17-21	22-26	5-9	10-14
Ohio				
Beachwood		15-19		17-21
Cincinnati	10-14		5-9	
Columbus		8-12		
Oregon				
Portland	17-21	15-19	12-16	10-14
Pennsylvania				
Philadelphia	17-21	15-19	5-9	17-21
Pittsburgh	24-28	29-11/2		10-14
Tennessee				
Memphis	17-21	15-19	12-16	10-14
Nashville	17-21		12-16	
Texas				
Austin		8-12		
Dallas	17-21	8-12	12-16	10-14
Houston	17-21	1-5	12-16	17-21
Houston		15-19		
Houston		22-26		
San Antonio	10-14		26-30	
Virginia				
Richmond	10-14		5-9	
Vienna	10-14		5-9	
Washington				
Renton	10-14	8-12	5-9	3-7

LOCATION	SEP	OCT	NOV	DEC
Wisconsin				
Appleton	24-28		26-30	
Wauwatosa	10-14	15-19	5-9	10-14
Puerto Rico				
San Juan			5-9	

\$419 | Class w/materials

SERIES 7 EVENING CLASS

LOCATION	SEP	OCT	NOV	DEC
New York				
New York	10-10/3	9-11/1	26-12/19	

\$419 | Class w/materials

SERIES 7 VIRTUAL CLASS

	24-28	22-26	26-30	17-21
Weekday				
Evening	4-27	9-11/1	6-12/4	

Series 66

Uniform Combined State Law Exam

\$219 | Class w/materials

LOCATION	SEP	OCT	NOV	DEC
Arizona				
Phoenix	20			
California				
Irvine	6		1	
San Diego		29		
San Francisco		18		20
San Jose		25		
Colorado				
Denver		22		13
Florida				
Clearwater	24		19	
Orlando		15		17
Georgia				
Atlanta	19		19	
Illinois				
Chicago	27		8	
Indiana				
Indianapolis	20		15	
Iowa				
Des Moines	5	29		

LOCATION	SEP	OCT	NOV	DEC
Massachusetts				
Boston	10		1	
Maryland				
Baltimore			19	
Michigan				
Grand Rapids				6
Southfield		18		
Minnesota				
Bloomington	24		19	
Missouri				
Maryland Heights	17		12	
North Carolina				
Charlotte	6		19	
New Jersey				
Newark			19	
New York				
New York	27			17
Oregon				
Portland	6			
Pennsylvania				
Philadelphia		22		
Tennessee				
Memphis		31		
Nashville		15		3
Texas				
Arlington	24			
Austin			15	
Dallas			26	
Houston		11		13
San Antonio		18		
Virginia				
Vienna		22		
Washington				
Renton		4		
Wisconsin				
Wauwatosa	27		5	

\$199 | Class w/materials

SERIES 66 VIRTUAL CLASS

	10-11	1-2	5-6	3-4
Weekday				
	24-25		26-27	

Series 65

\$249 | Class w/materials

Uniform Investment Advisor Law Exam

LOCATION	SEP	OCT	NOV	DEC
Arizona				
Phoenix	20-21			
California				
Irvine	6-7		1-2	
San Diego		29-30		
San Francisco		18-19		20-21
San Jose		25-26		
Colorado				
Denver		22-23		13-14
Florida				
Clearwater	24-25		19-20	
Orlando		15-16		17-18
Georgia				
Atlanta	19-20		19-20	
Illinois				
Chicago	27-28		8-9	
Indiana				
Indianapolis	20-21		15-16	
Iowa				
Des Moines	5-6	29-30		
Massachusetts				
Boston	10-11		1-2	
Maryland				
Baltimore			19-20	
Michigan				
Grand Rapids				6-7
Southfield		18-19		
Minnesota				
Bloomington	24-25		19-20	
Missouri				
Maryland Heights	17-18		12-13	
North Carolina				
Charlotte	6-7		19-20	
New Jersey				
Newark			19-20	
New York				
New York	27-28			17-18
Oregon				
Portland	6-7			

LOCATION	SEP	OCT	NOV	DEC
Pennsylvania				
Philadelphia		22-23		
Tennessee				
Memphis		31-11/1		
Nashville		15-16		3-4
Texas				
Arlington	24-25			
Austin			15-16	
Dallas			26-27	
Houston		11-12		13-14
San Antonio		18-19		
Virginia				
Vienna		22-23		
Washington				
Renton		4-5		
Wisconsin				
Wauwatosa	27-28		5-6	

\$229 | Class w/materials

SERIES 65 VIRTUAL CLASS

Weekday	12-14	3-5	7-9	5-7
	26-28		28-30	

“The online Virtual Class is user-friendly and convenient. The online icons make it very interactive as well. The instructor is very knowledgeable on the topic and explains the material very well. I would highly recommend this class to industry professionals.”

–Jennelyn Jugueta

Series 24

\$429 | Class w/materials

General Securities Principal

LOCATION	SEP	OCT	NOV	DEC
Georgia				
Atlanta	17-18			20-21
Iowa				
Des Moines		18-19		
Illinois				
Chicago	20-21	18-19	29-30	17-18
Massachusetts				
Boston		1-2	27-28	
Michigan				
Southfield	20-21			6-7
Minnesota				
Bloomington	24-25		5-6	
North Carolina				
Charlotte	20-21		15-16	
Nevada				
Las Vegas			8-9	
New York				
New York	4-5	29-30		10-11
Ohio				
Beachwood			8-9	
Oregon				
Portland		2-3		
Pennsylvania				
Philadelphia	24-25		13-14	
Tennessee				
Nashville		29-30		17-18
Texas				
Dallas		22-23		
Virginia				
Vienna		30-31		
Wisconsin				
Wauwatosa		22-23		

Series 26

\$379 | Class w/materials

Investment Company Products/Variable Contracts Limited Principal

LOCATION	SEP	OCT	NOV	DEC
Arizona				
Phoenix			15-16	
California				
Irvine			29-30	
San Diego		1-2		
San Francisco				13-14
Georgia				
Atlanta	10-11			
Illinois				
Chicago	6-7		8-9	
Massachusetts				
Boston		3-4		
Minnesota				
Bloomington			7-8	
North Carolina				
Charlotte		18-19		
Nevada				
Las Vegas		8-9		
New York				
New York		31-11/1		
Pennsylvania				
Philadelphia	26-27			
Texas				
Dallas	10-11			
Houston	24-25			
Wisconsin				
Wauwatosa				13-14

\$349 | Class w/materials

SERIES 26 VIRTUAL CLASS

Weekday	17-20	22-25	12-15	10-13

Series 3

\$309 | Class w/materials

National Commodity Futures Representative

LOCATION	SEP	OCT	NOV	DEC
Georgia				
Atlanta			1-2	
Illinois				
Chicago		31-11/1		5-6
Minnesota				
Bloomington			19-20	
Texas				
Dallas	5-6			

Series 27/28

\$499 | Class w/materials

Financial and Operations Principal

LOCATION	SEP	OCT	NOV	DEC
California				
San Francisco	20-21			
Georgia				
Atlanta			5-6	
Illinois				
Chicago		11-12		
Massachusetts				
Boston			29-30	
New York				
New York	6-7			
Pennsylvania				
Philadelphia			15-16	

Series 53

\$369 | Class w/materials

Municipal Securities Principal

LOCATION	SEP	OCT	NOV	DEC
California				
San Francisco	6			
Georgia				
Atlanta	25			20
Illinois				
Chicago		17		
New York				
New York	26			
Texas				
Dallas			7	
Wisconsin				
Wauwatosa		26		

Series 37/38/7

\$419 | Class w/materials

Canadian Module – General Securities Representative

LOCATION	SEP	OCT	NOV	DEC
Ontario, Canada				
Toronto		24-26		

“Taking the Kaplan Financial class definitely helped me pass the exam! I was having trouble with some of the denser material, like the municipal information, and having an instructor to further explain that section, along with the ability to have my questions answered on the spot, made a big difference.”

–Charles Harker, *Financial Advisor*
Ameriprise Financial

Series 4

\$369 | Class w/materials

Registered Options Principal

LOCATION	SEP	OCT	NOV	DEC
California				
San Francisco	4			
Georgia				
Atlanta		29	17	
Illinois				
Chicago		15		
New York				
New York	24			
Texas				
Dallas			5	
Wisconsin				
Wauwatosa		24		

Series 9/10

\$669 | Class w/materials

General Securities Sales Supervisor

LOCATION	SEP	OCT	NOV	DEC
California				
San Francisco	4-6			
Georgia				
Atlanta		29-31	17-19	
Illinois				
Chicago		15-17		
New York				
New York	24-26			
Texas				
Dallas			5-7	
Wisconsin				
Wauwatosa		24-26		

General Registration Information

REGIONAL LOCATIONS

Headquarters

30 S. Wacker Dr., Ste. 2500,
Chicago, IL 60606-7512
1-800-824-8742
Fax 1-312-836-9951

California

2646 Dupont Drive, Ste. 230
Irvine, CA 92612
1-949-756-2929
Fax 1-949-752-7301

Colorado

2150 South Cherry St.
Denver, CO 80222-5003
1-303-758-1033
Fax 1-303-758-5332

Georgia

3565 Piedmont Rd., Ste. 100
Atlanta, GA 30305
1-404-364-0715
Fax 1-404-364-9162

Michigan

25300 Telegraph Rd., Ste. 185
Southfield, MI 48034-7402
1-248-356-4540
Fax 1-248-356-3329

Minnesota

1295 Bandana Blvd. N., Ste. 245
St. Paul, MN 55108
1-888-523-1094
Fax 1-952-854-4988

New Mexico

8205 Spain Rd. NE, Ste. 109
Albuquerque, NM 87109
1-505-821-5556
Fax 1-505-821-5960

New York

61 Broadway, Ste. 700
New York, NY 10006-1901
1-212-509-2082
Fax 1-212-509-2615

Texas

4100 Midway Rd., Ste. 1055
Carrollton, TX 75007
1-800-772-5334
Fax 1-877-332-2631

Wisconsin

2300 N. Mayfair Rd., Ste. 205
Wauwatosa, WI 53226
1-414-456-9040
Fax 1-414-456-9553

SHIPPING

All materials are shipped within 24 hours after receipt of order and payment. Orders are shipped by UPS unless otherwise instructed. Shipping costs for individual orders average \$10 plus \$3 for each additional course.

EXCHANGE AND RETURN POLICY

If for any reason you need to return materials, you can do so within 30 days from the date of shipment. Returned materials must be unopened and in a usable, unmarked condition. Software is not returnable after it has been opened.

CLASS CONFIRMATION

Upon enrollment, students receive a class confirmation containing valuable information including study tips on how to prepare for class. This ensures each student receives the maximum benefit from attending our highly successful classes.

SALES/USE TAXES

Sales/use taxes will be charged where applicable. **\$25 Fee for checks returned for insufficient funds.**

CUSTOM CLASSES

If you would like information on customizing your own class, please contact your account representative.

IF YOUR CITY IS NOT LISTED, PLEASE CALL 1-800-521-3395.



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