

# Accelerated Certificate in Financial Planning Student Enrollment Agreement

Enroll in this course to qualify for the CFP® Certification Examination in just nine months!

Classes start soon—Act Now!

See enrollment options on page two. We have programs starting in January and February, 2007. Students who successfully complete the program will qualify to sit for the November 2007 CFP® Certification Examination. Go to [www.cfp.net](http://www.cfp.net) for CFP® Certification application deadlines and exam dates.

## How to Enroll

### Mail/FAX completed enrollment forms to:

Kaplan Financial  
 Fax: 1-312-455-6993  
 30 South Wacker Drive, Suite 2500  
 Chicago, IL 60606  
 Attn: Customer Service

### Call for more information:

Kaplan Financial  
 Tel: 1-888-694-3568  
 30 South Wacker Drive, Suite 2500  
 Chicago, IL 60606  
 Attn: Customer Service

To apply for transfer credit, complete page 4 of this form and submit to Kaplan University

## Student Profile

Please print legibly, fill in all sections completely, and sign on page three for your enrollment to be accepted.

NAME \_\_\_\_\_

HOME ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

HOME PHONE \_\_\_\_\_ HOME FAX \_\_\_\_\_

EMAIL ADDRESS (required for confirmation) \_\_\_\_\_

SOCIAL SECURITY NUMBER (required for reporting purposes) \_\_\_\_\_

### SHIPPING ADDRESS

SAME AS ABOVE ADDRESS       PLEASE SHIP TO:

SHIPPING ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

## Employment Information

COMPANY NAME \_\_\_\_\_

BRANCH MANAGER'S NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

BUSINESS PHONE \_\_\_\_\_ BUSINESS FAX \_\_\_\_\_



*Kaplan Financial includes these leading brands:*  
 Dearborn Financial Services, BISYS Education Services,  
 eMind®, Insurance Achievement®, and Schweser™

## Course Titles and Pricing

These prices are valid for students that enter the program beginning with FP101.

Accelerated Course	Course Tuition	Enroll me in the following:	Transfer Credit Requested (Select up to 2 courses)
FP101–Fundamentals of Personal Financial Planning	\$819	<input type="checkbox"/>	<input type="checkbox"/>
FP102–Insurance and Employee Benefits	\$819	<input type="checkbox"/>	<input type="checkbox"/>
FP103–Investment Planning	\$819	<input type="checkbox"/>	<input type="checkbox"/>
FP104–Income Tax Planning	\$819	<input type="checkbox"/>	<input type="checkbox"/>
FP105–Planning for Retirement	\$819	<input type="checkbox"/>	<input type="checkbox"/>
FP106–Estate Planning	\$819	<input type="checkbox"/>	<input type="checkbox"/>

Course tuition includes required textbooks. Shipping and handling is not included and will be added when your order is processed. NOTE: Certificates of Completion are not provided for audited courses. The fee to audit a course is \$300 per course and includes the required textbooks and classroom material.

## Enrollment Options

### Traditional Classroom

We offer our accelerated program in the following cities.

Course	Chicago	Dallas	Houston	Los Angeles	New York City	San Francisco
FP101	Jan. 11-14, '07	Feb. 15-18, '07	Jan. 18-21, '07	Feb. 01-04, '07	Feb. 08-11, '07	Jan. 11-14, '07
FP102	Feb. 15-18, '07	Mar. 22-25, '07	Feb. 22-25, '07	Mar. 01-04, '07	Mar. 15-18, '07	Feb. 15-18, '07
FP103	Mar. 29-Apr. 01, '07	Apr. 26-29, '07	Mar. 29-Apr. 01, '07	Apr. 26-29, '07	Apr. 19-22, '07	Mar. 29-Apr. 01, '07
FP104	May 10-13, '07	May 31-Jun. 03, '07	May 03-06, '07	May 17-20, '07	May 31-Jun. 03, '07	May 10-13, '07
FP105	Jun. 21-24, '07	Jul. 12-15, '07	Jun. 07-10, '07	Jul. 12-15, '07	Jul. 12-15, '07	Jun. 21-24, '07
FP106	Aug. 02-05, '07	Aug. 23-26, '07	Jul. 19-22, '07	Aug. 23-26, '07	Aug. 16-19, '07	Aug. 02-05, '07

### Virtual Classroom

Classes are conducted via the web on Monday, Wednesday and Thursday. Each class meets for 3 hours. We offer two different class times. Select either a 4:00 pm (ET) or 7:30 pm (ET) start time.

	FP101	FP102	FP103	FP104	FP105	FP106
Class Meeting 1	Jan. 08, '07	Feb. 19, '07	Apr. 02, '07	May 14, '07	Jun. 25, '07	Aug. 09, '07
Class Meeting 2	Jan. 10, '07	Feb. 21, '07	Apr. 04, '07	May 16, '07	Jun. 27, '07	Aug. 13, '07
Class Meeting 3	Jan. 11, '07	Feb. 22, '07	Apr. 05, '07	May 17, '07	Jun. 28, '07	Aug. 15, '07
Class Meeting 4	Jan. 15, '07	Feb. 26, '07	Apr. 09, '07	May 21, '07	Jul. 02, '07	Aug. 16, '07
Class Meeting 5	Jan. 17, '07	Feb. 28, '07	Apr. 11, '07	May 23, '07	Jul. 05, '07	Aug. 20, '07
Class Meeting 6	Jan. 18, '07	Mar. 01, '07	Apr. 12, '07	May 24, '07	Jul. 09, '07	Aug. 22, '07
Class Meeting 7	Jan. 22, '07	Mar. 05, '07	Apr. 16, '07	May 28, '07	Jul. 11, '07	Aug. 23, '07
Class Meeting 8	—	—	Apr. 18, '07	May 30, '07	Jul. 12, '07	Aug. 27, '07

### Select Class Location

Please indicate the program in which you would like to enroll.

Traditional Class:  Chicago  Dallas  Houston  Los Angeles  New York City  San Francisco

Virtual Class:  4:00 – 7:00 pm (ET)  7:30 – 10:30 pm (ET)

## Payment Options

1

CREDIT CARD OR BANK CARD: If you select the credit card/bank card payment options, your account number on file will be charged for each course separately. Charges will occur automatically prior to the start date of each course. There are 6 courses in all and pre-study materials for a course will be delivered when tuition is paid in full for that course.

VISA  MasterCard  American Express  Discover

CARD NUMBER \_\_\_\_\_ EXP DATE \_\_\_\_\_

EXACT NAME ON CARD \_\_\_\_\_

SIGNATURE \_\_\_\_\_

2

CHECK OR MONEY ORDER: When paying by check or money order, the full tuition rate is due with your completed application. Please make check payable to Kaplan Financial and mail to 30 S. Wacker, Suite 2500, Chicago, IL 60606-1719, Attn: Customer Service. Be sure to include a completed enrollment form with payment.

# Terms and Conditions

## Acceptance

By returning a signed copy of this Student Enrollment Agreement, you confirm your acceptance of the terms and conditions contained in this Agreement.

## Tuition and General Billing Terms

Tuition must be paid in full prior to beginning each course.

## Order Confirmation

An order confirmation will be sent to you.

## Refund Policy (no exceptions)

Kaplan Financial will refund the full tuition rate anytime before pre-study materials are shipped. There are no refunds after pre-study materials have been shipped to the student.

## Transfer Credit

While each of the six courses in the Kaplan University/Kaplan Financial Accelerated Program are eligible for transfer credit, students may only transfer a maximum of two (2) out of total six (6) courses. A student may be exempt from a course upon satisfactory evidence that the course has been taken at an accredited institution within the previous five (5) years from date of application to Kaplan University. Courses eligible for transfer must be comparable in content to those of Kaplan University and a grade of "C" or above or "pass" status must be demonstrated. To apply, please follow the instructions on the attached Transfer Credit/Course Exemption Evaluation Form. Fees apply.

## Traditional Classroom Make-up Policy

Students may retake a missed class free of charge for up to one year from the date of class he/she missed. Kaplan Financial will work closely with the student and organization to identify the most effective option. Any additional make-up cost associated with travel or updated textbooks will be the responsibility of the student.

## Insurance Continuing Education Credit

Insurance CE credit may be granted for a learner's full attendance at the classroom sessions, subject to state approval. Additional CE certificate fees will apply. For more information, please consult the Insurance CE request form available on the Advanced Designations section of [www.kaplanfinancial.com](http://www.kaplanfinancial.com) under the Accelerated Certificate in Financial Planning Program.

## Academic Requirements

In order to meet CFP Board requirements and sit for the CFP® Certification Examination, students must attend all program classroom sessions in their entirety. Virtual Classroom students must also attend all sessions in their entirety of which at least four sessions per module must be real-time, the remaining sessions maybe attended via playback. In addition, students must achieve a passing score of 70% or better in each of the accelerated courses (FP101-FP106). Should a student fall short of these requirements during the program, they will be contacted by a Kaplan Representative, to discuss how he/she can remain in good standing in the program.

## Class Cancellation

Kaplan Financial reserves the right to cancel a program and/or class if enrollments are insufficient. Students enrolled at the time of cancellation will receive a full refund.

## Waiver and Release of Student Information

Kaplan University and/or Kaplan Financial may exchange my student information to my employer, including but not limited to, name, address, date of course enrollment, date of completion and grade, for the purpose of tuition reimbursement and other internal procedures commonly requested by corporate customers.

# Acceptance of Terms

*To enroll in the Accelerated Certificate in Financial Planning Program, please read, sign your name, and add today's date.*

- (1) Read all the terms and conditions of the Student Enrollment Agreement before signing.
- (2) We advise you to keep a copy of this Student Enrollment Agreement for your records.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

PRINT NAME AND TITLE \_\_\_\_\_

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# Transfer Credit/Course Exemption Evaluation Form

To apply and receive transfer credit, you must complete this page in its entirety and mail or fax it with all of the necessary components to Kaplan University at the address listed below. This portion of the application is required for students seeking transfer credit only. If you are interested in enrolling in the Accelerated Certificate in Financial Planning program, and are not seeking transfer credit, you do not need to complete this page.

NAME \_\_\_\_\_

DATE \_\_\_\_\_

EMAIL \_\_\_\_\_ PHONE \_\_\_\_\_

MAILING ADDRESS \_\_\_\_\_

SOCIAL SECURITY NUMBER \_\_\_\_\_

COMPANY \_\_\_\_\_

CITY & PROGRAM START DATE \_\_\_\_\_

## Exemption Options

Please check all courses that you would like to be evaluated for course exemption. While all of the 6 courses in the Accelerated Certificate in Financial Planning program are eligible for transfer credit, only a maximum of 2 out of the total 6 courses may be approved for credit. See Terms and Conditions for more information.

- Accelerated FP101 – Fundamentals of Personal Financial Planning
- Accelerated FP102 – Insurance and Employee Benefits
- Accelerated FP103 – Investment Planning
- Accelerated FP104 – Income Tax Planning
- Accelerated FP105 – Planning for Retirement
- Accelerated FP106 – Estate Planning

TOTAL # OF COURSES EVALUATED \_\_\_\_\_ (1, 2, 3, 4, 5, or 6)

TOTAL COST \_\_\_\_\_ x \$25 = \_\_\_\_\_ (If evaluating 4, 5 or 6 courses, total cost is \$100)  
 (# above) Total Cost (Maximum cost \$100)

## Payment Options and Necessary Documents

Please send this form in with the following three items below to be evaluated for course exemption.

\$25 / \$50 / \$75 / \$100 NON-REFUNDABLE FEE (Please indicate payment type below: Check or Credit Card)

**1** CHECK OR MONEY ORDER:  CHECK  MONEY ORDER (Please make check payable to Kaplan University)

CREDIT CARD OR BANK CARD:  VISA  MasterCard  American Express  Discover

CARD NUMBER \_\_\_\_\_ EXP DATE \_\_\_\_\_

SIGNATURE \_\_\_\_\_

**2** TRANSCRIPT/S \_\_\_\_\_  
 INSTITUTION/S \_\_\_\_\_

**3** COURSE DESCRIPTIONS Please attach course descriptions. Legal course descriptions are needed from the above institution/s to evaluate whether your course is transferable into Kaplan University curriculum.

## Send to

In order to be evaluated for course exemption, please mail or fax this page along with the three above items to:

**Kaplan University**  
 ATTN: Robin Renée Blanc  
 School of Continuing and Professional Studies  
 6301 Kaplan University Avenue  
 Fort Lauderdale, FL 33309  
 E-mail: rblanc@Kaplan.edu  
**Fax #: 1-866-509-8965**

